

# Continuing Professional Development Certificate

## PROD: The new FCA rulebook

**Date:** Tuesday 15 May 2018

**Time:** 1.15pm - 2.00pm

**Speaker:** Rory Percival, Rory Percival Training and Consultancy

In this IIL lecture Rory gave delegates a better understanding of what the new PROD rulebook is about, why it is important and suggested an approach to meet their obligations.

PROD is a new FCA rulebook that was introduced as part of MiFID II. It has received very little coverage and few people appear to have heard of it. In this session, Rory explained what it is, why it is important and what advisory firms need to do in order to meet the new requirements.

By the end of this lecture, members would have gained an insight into:

- the new FCA Rules and their importance
- steps to take to meet the Rules
- good practice



This lecture or podcast can be included as part of your CPD requirement should you consider it relevant to your professional development needs. It is recommended that you keep any evidence of the CPD activity you have completed and upload copies to the recording tool as the CII may ask to see this if your record is selected for review.